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### PATENT ATTORNEY DOCKET NO. 50026/012003

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Keiya Ozawa et al.

Art Unit:

1636

Serial No.:

09/905,592

Examiner:

R. Akhavan

Filed:

July 13, 2001

Customer No.:

21559

Title:

GENE THAT IMPARTS SELECTIVE PROLIFERATIVE

**ACTIVITY** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the enclosed Form PTO-1449, copies of which are enclosed.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

This statement is being filed after a first Office Action on the merits, but before the mailing of a final Office action or a Notice of Allowance. A check for \$180.00 in payment of the late submission fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

If there are any additional charges or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: May 26, 2004

James D. DeCamp, Ph.D.

Reg. No. 43,580

Clark & Elbing LLP 101 Federal Street Boston, MA 02110

Telephone: 617-428-0200

Facsimile: 617-428-7045

Attorney Docket No. 50026/012003 U.S. DEPARTMENT OF COMMERCE SUBSTITUTE FORM PTO-1449 (MODIFIED) PATENT AND TRADEMARK OFFICE Serial No. 09/905,592 Applicant Keiya Ozawa et al. INFORMATION DISCLOSURE July 13, 2001 Filing Date STATEMENT BY APPLICANT (Use several sheets if necessary) 1636 Group May 26, 2004 (37 C.F.R. § 1.98(b)) **IDS Filed** U.S. PATENTS Examiner's Patent Number Issue Date Patentee Class Subclass Filing Date (If Appropriate) Initials FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION Document Publication Translation Class Subclass Examiner's Country or Initials Number Date Patent Office (Yes/No) OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) Alexander et al., "Point Mutations within a Dimer Interface Homology Domain of c-MpI Induce Constitutive Receptor Activity and Tumorigenicity," The EMBO Journal 14(22):5569-5578 (1995). Bazan, "Structural Design and Molecular Evolution of a Cytokine Receptor Superfamily," Proc. Natl. Acad. Sci. USA 87:6934-6938 (1990). Heldin, "Dimerization of Cell Surface Receptors in Signal Transduction," Cell 80:213-223 (1995). Ihle, "Cytokine Receptor Signalling," Nature 377:591-594 (1995). Murakami et al., "Critical Cytoplasmic Region of the Interleukin 6 Signal Transducer gp130 Is Conserved in the Cytokine Receptor Family," Proc. Natl. Acad. Sci. USA 88:11349-11353 (1991). Ozawa et al., US 2002/0004582 A1, published January 10, 2002. Ozawa et al., US 2003/0166161 A1, published September 04, 2003. Omura et al., "Acceleration of Granulocyte Colony-Stimulating Factor-Induced Neutrophilic Nuclear Lobulation by Overexpression of Lyn Tyrosine Kinase," Eur. J. Biochem. 269:381-389 (2002). Thornton, "Evolution of Vertebrate Steroid Receptors from an Ancestral Estrogen Receptor by Ligand Exploitation and Serial Genome Expansions," Proc. Natl. Acad. Sci. USA 98(10):5671-5676 (2001). **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.



PATENT ATTORNEY DOCKET NO. 50026/012003

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Elvis De La Cruz

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### COMMUNICATION REGARDING INFORMATION DISCLOSURE STATEMENT

Applicants draw the Examiner's attention to the Information Disclosure

Statements ("IDSes") and Forms PTO-1449 mailed on September 19, 2002, February 19,
2004, and April 16, 2004. (Courtesy copies of these Forms PTO-1449, as filed, are
enclosed.) Applicants enclose copies of the return postcards submitted with the
September 19, 2002, February 19, 2004, and April 16, 2004 filings which bear the
USPTO stamp indicating that the items listed on the postcard were received by the
USPTO.

Applicants' file does not reflect that the Forms PTO-1449 which accompanied the September 19, 2002, February 19, 2004, and April 16, 2004 IDSes were returned with an indication that the cited references were considered by the USPTO. Applicants respectfully request that the Forms PTO-1449 be returned to Applicants with such indication.

If there are any charges or any credits, please apply them to Deposit Account No. 03-2095.

Date: \_\_\_\_\_ 26 May 2004

James D. DeCamp, Ph.D.

Respectfully submitted,

Reg. No. 43,580

Clark & Elbing LLP 101 Federal Street Boston, MA 02110-2214

Telephone: 617-428-0200 Facsimile: 617-428-7045



Date: 9-19-02 Pages: Number: Pages: & Other Communication from Pages: Pages: Pages: Pages: Pages: Pages: GENE THAT IMPARTS SELECTIVE PROLIFERATIVE ACTIVITY The 1 to the foreign patent office other □ Preliminary Amendment MIDS - Supplemental □ Declaration & POA □ Assignment & Cover Sheet Small Entity Statement PATE Change of Address K Cited References Atty/Secy: JDD: JNT Client/Matter Name: Shimizu The U.S. PTO date stamp sets forth the date of receipt of: Keiya Ozawa et al. July 13, 2001 09/905,592 Pages: \_\_ Pages: \_ Pages: \_ Pages: Pages: Pages: 'ages: Pages: Pages: Pages: □ Reply to Missing Parts
 □ Reply to Examiner's Action □ Drawings Formal/Informal o Transmittal Letter o Notice of Missing Parts Serial/Patent Number: ☐ Extension of Time ☐ Notice of Appeal ☐ Appeal Brief Issue Fee Payment Applicant/Patentee: \_\_ (eef) □ M-Fee Payment Filed/Issued: Other □ Check Title:

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